Primary immunodeficiency diseases: relevant aspects for pulmonologists*

Imunodeficiências primárias: aspectos relevantes para o pneumologista

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Abstract

Primary immunodeficiency diseases comprise a genetically heterogeneous group of disorders that affect distinct components of the innate and adaptive immune system, such as neutrophils, macrophages, dendritic cells, complement proteins and natural killer cells, as well as T and B lymphocytes. The study of these diseases has provided essential insights into the functioning of the immune system. Primary immunodeficiency diseases have been linked to over 120 different genes, abnormalities in which account for approximately 180 different forms of these diseases. Patients with primary immunodeficiency diseases are most often recognized because of their increased susceptibility to infections. However, these patients can also present with a variety of other manifestations, such as autoimmune diseases, inflammatory diseases and cancer. The purpose of this article is to update the main aspects of primary immunodeficiency diseases, especially regarding the clinical manifestations related to the diagnosis, emphasizing the need for the early recognition of warning signs for these diseases.

Keywords: Respiratory tract infections; Complement activation; Immunologic deficiency syndromes; Phagocytes; Immunoglobulins.

Resumo

As imunodeficiências primárias são um grupo de doenças geneticamente heterogêneas que afetam diferentes componentes da imunidade inata e adaptativa, como neutrófilos, macrófagos, células dendríticas, proteínas do sistema complemento, células natural killer e linfócitos B e T. O estudo dessas doenças tem fornecido importantes entendimentos sobre o funcionamento do sistema imune. Mais de 120 diferentes genes já foram identificados, cujas anormalidades são responsáveis aproximadamente 180 diferentes formas de imunodeficiências primárias. Pacientes com imunodeficiências primárias são frequentemente reconhecidos pela sua elevada suscetibilidade a infecções; porém, esses pacientes podem apresentar também várias outras manifestações, como doenças autoimunes, doenças inflamatórias e câncer. O propósito deste artigo é atualizar os principais aspectos das imunodeficiências primárias, especialmente em relação às manifestações clínicas relacionadas ao diagnóstico, enfatizando a necessidade do reconhecimento precoce dos sinais de alerta para essas doenças.

Descritores: Infecções respiratórias; Ativação do complemento; Síndromes de imunodeficiência; Fagócitos; Imunoglobulinas.

Introduction

Primary immune diseases (PIDs) comprise a genetically heterogeneous group of rare disorders that are generally caused by genetic defects or developmental defects of the immune system. These disorders are widely studied in immunology, and the results of such studies have provided insights into the functioning of the immune system and into the interactions within this system, as well as into the relationships between the host and the pathogenic agent. For instance, between the 1950s and the 1960s, the discovery of congenital agammaglobulinemia, DiGeorge syndrome and severe combined immunodeficiency was a milestone for the division of specific immunity into antibody-mediated response (humoral) and cell-mediated response (cellular) 15 years before the discovery of T and B lymphocytes. Recently, the importance of...
defects in the function of natural killer cells\(^{(3)}\) and in the signaling of toll-like receptors\(^{(4,5)}\) has become the focus of attention in PIDs.

Clinical phenotypes derived from a particular genotype can vary greatly, depending on a number of factors. Therefore, the relationship between genetic characteristics and clinical phenotypes is not linear but rather a complex expression of molecular defects regulated by endogenous and exogenous factors, which might justify the wide phenotypic heterogeneity observed.\(^{(6)}\) In recent years, a substantial number of genes involved in immunity, as well as their functions, have been identified through the study of patients with PIDs. These diseases are traditionally considered predisposing factors for infections caused by a wide variety of pathogens, and a growing number of immunodeficiencies with high susceptibility to infections by specific germs has been described.\(^{(7)}\)

These diseases are constant challenges for physicians who work with primary care services, in which recurrent infections are quite common reasons for seeking medical attention. This reinforces the observation that the diagnosis of PIDs is delayed, probably because physicians have little knowledge regarding these diseases, which increases the risk for complications and death secondary to infections and other comorbidities.\(^{(8)}\) In addition, many cases are incorrectly diagnosed, resulting in the adoption of inappropriate therapeutic measures.\(^{(9)}\)

Since 1952, when the first PID (X-linked agammaglobulinemia or congenital agammaglobulinemia) was described by Bruton,\(^{(10)}\) over 180 different types of PIDs have been described, and over 120 genes have been linked to these diseases,\(^{(7)}\) which makes the classification of PIDs increasingly complex. In this context, since 1970, an international committee of specialists in PIDs has gathered every two years in order to update the classification of this large group of diseases, focusing on clinical, genetic and molecular aspects.\(^{(2,7)}\)

Despite this great complexity, PIDs can be didactically divided into five major groups, according to how the immune response is affected, as follows: humoral immunodeficiency or antibody deficiency; cellular immunodeficiency or T cell immunodeficiency; combined immunodeficiency, affecting humoral and cellular immunity; phagocytic disorders; and complement deficiency.

The purpose of the present review is to provide tools for the recognition of patients with suspected PID, so that these patients can rapidly be investigated and referred for treatment at specialized centers.

### Epidemiology

Although PIDs are considered to have a low incidence, it is estimated that they affect more than 1 infant per 2,000 births.\(^{(8)}\) According to Conley and Stiehm,\(^{(11)}\) of the patients with recurrent respiratory infections in the pediatric age bracket, approximately 50% are healthy, 30% have allergies, 10% have chronic pathologies, and 10% might have immunodeficiency.

Great geographic and racial variations in the prevalence and distribution of PIDs have been demonstrated in various epidemiological studies, and many developed countries have well defined studies on this topic. Such variations can be related to the genetic characteristics of each population and to the differences regarding the availability of diagnostic laboratory resources, especially of methods for molecular identification.\(^{(12)}\)

Studies conducted in various countries in the world have shown that humoral immunodeficiencies are the most frequent PIDs, accounting for approximately half of the cases,\(^{(13,14)}\) and that complement deficiencies are the most rare PIDs.\(^{(15,16)}\)

Since certain PIDs are X-linked diseases, PIDs, in general, primarily affect males (male/female ratio, 5:1). The incidence of certain PIDs is as follows: 1:1,000 for IgA deficiency (in Brazil); 1:66,000 to 1:75,000 for common variable immunodeficiency; 1:100,000 for X-linked agammaglobulinemia; 1:183,000 to 1:200,000 for chronic granulomatous disease; 1:30,000 to 1:100,000 for severe combined immunodeficiency; and 1:10,000 to 1:50,000 for hereditary angioedema.\(^{(15,17)}\)

### Pathogenesis

Most PIDs are determined by X-linked autosomal inheritance or autosomal recessive inheritance, although some PIDs have no defined inheritance pattern and can be observed in more than one family member. The identifi-
cation of the genetic inheritance is essential for subsequent genetic counseling.

This group of diseases results from heterogeneous disorders, involving defects in various parts of the immune system or defects in one single protein produced by a specific cell lineage. These gene defects can affect enzymes, structural proteins, signal transduction molecules or DNA repair proteins.[18]

**Diagnosis**

The first stage for the diagnosis of PIDs is to recognize that, although these diseases are rare in the general population, they are a medical reality and not only a myth. The clinical spectrum of PIDs is very wide and heterogeneous. Usually, the clinical manifestations of PIDs occur during childhood, although some manifestations can be observed in the second or third decades of life, as seen in cases of common variable immunodeficiency.[18] Therefore, PIDs are not restricted to the pediatric age bracket.

The most typical manifestations of PIDs are recurrent infections. This high predisposition is seen in one or more clinical dimensions of infections, such as pathogen virulence, infection site (local or generalized), severity (degree of tissue lesion), persistence or resistance to therapy and frequency of recurrence or reinfection.[19] Infections caused by specific microorganisms or low-virulence pathogens are predominant. Although they can have a mild clinical expression, most infections have severe and prolonged evolution, inadequate response to the antibiotic therapy routinely used and high risk for complications and hospitalizations.[20] Regarding these aspects, it is often difficult to distinguish between normal and abnormal. Hygiene, the prevalence of certain pathogens and the availability of vaccines should be considered.[19]

The criteria for normality are generally based on laboratory tests for immunocompetence; however, clinical and biological correlation is not always observed. Therefore, patients with specific infectious diseases who have no detectable immunological alterations are frequently neglected.[21]

The age at onset, the type of pathogen and the location of the infections can suggest the nature of the immunological disorder (Chart 1).

**Chart 1 - Clinical characteristics of primary immunodeficiencies.**

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Predominant defect in the T cell</th>
<th>Predominant defect in the B cell</th>
<th>Phagocytic defect</th>
<th>Complement defect</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age at onset</td>
<td>Precocious</td>
<td>After maternal antibodies are catabolized (5-12 months) or at the end of childhood</td>
<td>Precocious</td>
<td>Any age</td>
</tr>
<tr>
<td>Most common alterations</td>
<td>Inadequate growth, chronic diarrhea, persistent candidiasis</td>
<td>Sinopulmonary infections, gastrointestinal symptoms, malabsorption, arthritis, meningocencephalitis</td>
<td>Cellulitis, abscesses, adenitis, periodontitis, osteomyelitis</td>
<td>Meningitis, arthritis, septicemia, sinopulmonary infections Vasculitis, systemic lupus, dermatomyositis, glomerulonephritis, angioedema</td>
</tr>
<tr>
<td>Special characteristics</td>
<td>Graft-versus-host disease caused by maternal cells or transfusion of non-irradiated blood, inflammation after BCG vaccination, hypocalcemic tetany</td>
<td>Autoimmune disease, lymphoma, thymoma, paralysis caused by the oral vaccine against poliomyelitis</td>
<td>Delay in the drop off of the umbilical stump, delayed healing</td>
<td></td>
</tr>
</tbody>
</table>

CMV: cytomegalovirus; EPV: Epstein-Barr virus; and Hib: Haemophilus influenzae type B. Adapted from Woroniecka & Ballow.[23]
The immune response mediated by antibodies is the principal defense mechanism against respiratory pathogens. Therefore, humoral immunodeficiencies are predominantly accompanied by sinopulmonary infections caused by extracellular encapsulated bacteria and secondarily accompanied by gastrointestinal infections caused by enteroviruses and Giardia lamblia. Severe forms of congenital agammaglobulinemia or variable common immunodeficiency can evolve with complications such as bronchiectasis, gastrointestinal diseases, malignancies and autoimmune diseases. The association between humoral immunity alterations and asthma is also frequent. The patterns of immune dysfunction in these cases are variable. High levels of IgG4, IgG2 deficiency and IgA deficiency have been described. In our experience, we believe there is also an association between severe asthma and congenital agammaglobulinemia, common variable immunodeficiency and specific polysaccharide antibody deficiency with normal levels of immunoglobulins, with clinical improvement of the asthma after the replacement of gamma globulin i.v. (unpublished data). It is likely that this improvement in the clinical parameters is due to the significant reduction in respiratory infections, which play a key role in the triggering of exacerbations and in the intensification of the bronchial inflammatory process in these patients.

Specific cellular immunodeficiencies cause severe infections by pathogens of intracellular replication, such as viruses, fungi, mycobacteria and salmonellae. Children with respiratory infections caused by Pneumocystis jirovecii can have severe cellular immunodeficiencies, such as hyper-IgM syndrome or severe combined immunodeficiency. Specific deficiencies in the quantity or cytotoxic activity of natural killer cells can be particularly associated with fatal infections or disseminated infections caused by the herpes zoster virus, although other viral infections, such as recurrent vulvar condylomata associated with cervical carcinoma and pulmonar y infiltrate, have also been reported.

Phagocytic disorders should be considered in patients with cutaneous and deep abscesses, as well as respiratory infections, neurological infections and infections of the reticuloendothelial system caused by staphylococci, gram-negative bacteria and fungi. Individuals who have terminal complement deficiencies generally present infections caused by bacteria of the genus Neisseria.

Therefore, four aspects are essential for the clinician to suspect of a PID, as follows:

- History and physical examination suggestive of PID
- Infections caused by specific pathogens or low-virulence pathogens
- Association with genetic syndromes
- Family history of PID

The following are key aspects in the clinical history: age at onset, location probable etiology, frequency and severity of the infections, as well as the presence of postinfection complications, hospitalizations and severe post-vaccination reactions caused principally by live attenuated vaccines, such as BCG, oral poliomyelitis, rotavirus, yellow fever, measles/mumps/rubella and varicella vaccines. For instance, patients with chronic granulomatous disease can present systemic infections caused by Mycobacterium bovis after BCG vaccination, and patients with congenital agammaglobulinemia can develop poliomyelitis after taking the Sabin vaccine.

Although recurrent infections are the most frequent manifestations associated with PIDs, other associated conditions can also be observed, such as severe allergic reactions, asthma, The following are key aspects in the clinical history: age at onset, location probable etiology, frequency and severity of the infections, as well as the presence of postinfection complications, hospitalizations and severe post-vaccination reactions caused principally by live attenuated vaccines, such as BCG, oral poliomyelitis, rotavirus, yellow fever, measles/mumps/rubella and varicella vaccines. For instance, patients with chronic granulomatous disease can present systemic infections caused by Mycobacterium bovis after BCG vaccination, and patients with congenital agammaglobulinemia can develop poliomyelitis after taking the Sabin vaccine.

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In 1999, the Jeffrey Modell Foundation, together with the American Red Cross, published the “Ten warning signs” for PID in order to facilitate clinical reasoning regarding patients who required laboratory evaluation. As illustrated in Chart 2, these warning signs were adapted for use in Brazil by the Brazilian Immunodeficiency Group. The presence of one or more of the ten warning signs for PID makes laboratory evaluation mandatory.

Pulmonologists should consider the hypothesis of PID in the following situations:
- Two or more episodes of pneumonia in the previous year
- Chronic bronchitis with no history of smoking
- Severe respiratory manifestations secondary to BCG vaccination
- Respiratory infections caused by low-virulence pathogens (typical or atypical mycobacteria, fungi and protozoa)
- Postinfection pulmonary complications (empyema, fistulae, bronchiectasis, pneumatoceles, abscesses, pulmonary fibrosis)
- Severe asthma or difficult-to-control asthma

**Evaluation of immunocompetence**

The evaluation of immunocompetence is essential to define the diagnosis of PIDs. However, the evaluation of immunocompetence has two major limitations, namely the high cost of laboratory examinations and the small number of specialized laboratories available to perform the tests. Therefore, it is recommended that the laboratory investigation begin with screening tests, which are inexpensive and easy, according to the clinical history and the physical examination. To that end, it is essential that the economic status of each center be considered.

**Chart 3 - Principal screening tests for primary immunodeficiencies.**

1. Complete blood workup
2. Determination of serum immunoglobulins (IgG, IgM, IgA and IgE)
3. X-rays of the cavum and chest
4. Skin testing for delayed hypersensitivity
5. Nitroblue tetrazolium reduction test
6. Total hemolytic complement (CH50)
7. HIV serology
The principal screening tests for the investigation of PIDs in Brazil are shown in Chart 3. The results should be interpreted very carefully and always compared with the reference values for individuals of the corresponding age bracket.

The blood workup with differential cell count is essential for all patients with suspected PID; it provides important information regarding suspected cytopenias (neutropenia, lymphopenia or thrombocytopenia) or qualitative alterations of cells, such as the presence of gigantic cytoplasmic inclusions associated with the Golgi complex and lysosomes in neutrophils and platelets of patients with Chediak-Higashi syndrome or reduction in the size and function of platelets, suggestive of Wiskott-Aldrich syndrome, which also causes thrombocytopenia. A sharp reduction in all cell series can be observed in certain severe combined immunodeficiencies, such as reticular dysgenesis. Persistent lymphopenia (less than 3,000 lymphocytes/mm^3 in children younger than 2 years of age) is suggestive of cellular immunodeficiency or combined immunodeficiency.

The determination of serum immunoglobulins (IgG, IgM, IgA and IgE) is the first step for the evaluation of humoral immunity and allows the diagnosis of quantitative immunoglobulin deficiencies, such as congenital agammaglobulinemia, common variable immunodeficiency and IgA deficiency, as well as of humoral alterations associated with other defects, such as hyper-IgE syndrome and hyper-IgM syndrome. Some patients might not produce antibodies against specific antigens, although they present normal immunoglobulin levels. Therefore, patients who remain seronegative when there is evidence of infection should be investigated.

A cavum X-ray is also useful for the initial evaluation of humoral immunity, since it allows the visualization of adenoid tissue, which can be absent in certain PIDs, such as congenital agammaglobulinemia and common variable immunodeficiency.

Cellular immunity can be initially assessed through a blood workout, which can show lymphopenia; through a chest X-ray, to visualize the thymus; and through intradermal skin testing for delayed hypersensitivity. The antigens most frequently used are Candida, PPD, trichophyton, streptokinase-streptodornase and mumps. These tests have no diagnostic utility in children younger than 1 year due to the great possibility of false-negative results; such tests are considered negative when a papule greater than 2 mm in diameter is formed.

Phagocytic disorders can be initially evaluated through a blood workout, which provides tools for the diagnosis of neutropenia; through the nitroblue tetrazolium reduction test, which assesses the oxidative metabolism of neutrophils and is extremely altered in patients with chronic granulomatous disease.

The test most frequently used for the evaluation of the total hemolytic activity of the classical complement pathway is CH50.

Considering the high prevalence of AIDS, it is recommended that all patients with recurrent infections be submitted to HIV serology.

It is also noteworthy that laboratory screening can be normal in some PIDs, such as in specific cellular immunodeficiencies or natural killer

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**Chart 4 - Principal clinical conditions associated with increased susceptibility to infections.**

<table>
<thead>
<tr>
<th>Clinical conditions</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>Circulatory disturbances</td>
<td>Congenital cardiopathy, sickle cell disease, diabetes, nephrosis</td>
</tr>
<tr>
<td>Obstructive disturbances</td>
<td>Urethral or ureteral stenosis, asthma, allergic rhinitis, blockade of the auditory tube, cystic fibrosis, foreign body</td>
</tr>
<tr>
<td>Integumentary disturbances</td>
<td>Eczema, burns, skull fractures, ciliary abnormalities</td>
</tr>
<tr>
<td>Microbiological factors</td>
<td>Microbial overgrowth due to the use of antimicrobial agents, chronic infections by resistant organisms, continuous reinfection (contaminated water supply, frequent contact with infected people, contaminated inhalation equipment)</td>
</tr>
<tr>
<td>Placement of external devices</td>
<td>Ventricular shunt, central venous catheter, artificial heart valve, urinary catheter</td>
</tr>
<tr>
<td>Secondary immunodeficiencies</td>
<td>Malnutrition, prematurity, lymphoma, splenectomy, uremia, immunosuppressive therapy, protein-losing enteropathy</td>
</tr>
</tbody>
</table>

Adapted from Conley & Stiehm.
cell dysfunction. However, patients with high level of clinical suspicion should be referred for specialized immunological evaluation.

**Differential diagnosis**

The differential diagnosis of recurrent infections should be comprehensive, considering that, in addition to PIDs, various conditions cause increased susceptibility to infections. These diseases are listed in Chart 4.

**Treatment**

Treatment should be started immediately after the diagnosis is confirmed, thus avoiding possible complications. A multidisciplinary approach is essential, involving physicians (especially pediatric immunologists, clinical immunologists, pulmonologists, infectious disease specialists, rheumatologists, endocrinologists, gastroenterologists and hematology oncologists), nurses, nutritionists, physiotherapists, psychologists, social workers and speech therapists. Treatment can be divided into general and specific.

General therapeutic measures include the following:

- To adopt high standards of environmental and personal hygiene.
- To educate patients and family members regarding the disease.
- To reestablish nutritional and micronutrient conditions.
- To adopt a diet without raw or undercooked foods.
- To avoid crowded areas.
- To perform frequent nasal lavages using saline solution.
- To drain the secretions through respiratory physical therapy. Mucolytic drugs, such as N-acetylcysteine, can sometimes be inhaled, depending on the degree of viscosity of the secretions.
- To avoid live attenuated vaccines (BCG, Sabin, rotavirus, measles/mumps/rubella, yellow fever and varicella) in certain PIDs, especially in cases of severe cellular immunodeficiencies and agammaglobulinemia. In these cases, family members and other people who live with the patients should not take the Sabin vaccine because of the risk of transmitting the vaccine strains. However, inactivated or subunit vaccines can be safely administered to immunocompromised patients, although the efficacy of these vaccines is reduced. Patients with terminal complement deficiencies can benefit from immunization against encapsulated bacteria, especially *Neisseria meningitidis*.
- Whenever necessary, to infuse blood products only when these have been previously irradiated, in order to avoid graft versus host disease.
- To undergo aggressive and precocious treatment for infections using antimicrobials, if possible based on the previous isolation of pathogens in cultures from blood and other body fluids and antibiogram. The options and doses should be similar to those used for immunocompromised patients, but the period of treatment should be generally longer. Severe cases should be preferentially treated in hospitals, opting for the administration of antibiotic therapy i.v.
- The use of prophylactic antibiotics (with quarterly rotations) is indicated for certain PIDs, in patients who present susceptibility to infections by specific agents. For instance, patients with hyper-IgM syndrome and severe cellular immunodeficiencies should be submitted to prophylaxis with trimethoprim-sulfamethoxazole, since these patients are more likely to develop pneumonia by *P. jiroveci*.
- To treat the comorbidities and their complications.

Specific therapeutic measures should only be employed when the diagnosis is well-established; such measures vary according to the PID. The principal procedures available and their indications are as follows:

- Immunoglobulin replacement therapy is the treatment of choice for patients with certain humoral immunodeficiencies, especially congenital agammaglobulinemia, common variable immunodeficiency and severe cases of specific antibody deficiency with normal levels of immunoglobulins. Immunoglobulin replacement therapy is also indicated for patients with severe combined immunodeficiencies. This therapy is not indicated in IgA deficiency, except in selected cases in which patients also present specific polysaccharide
antibody deficiency. The route of administration can be intravenous (most frequent) or subcutaneous. The preparations contain neutralizing antibodies against a wide variety of bacterial and viral pathogens, reflecting the immunological memory of the donors. This treatment modality has proved very effective, significantly reducing the incidence of respiratory infections (especially pneumonia) and the rates of hospitalization due to infections, which in turn reduces morbidity and mortality.

- Bone-marrow or stem-cell transplantation is the treatment of choice for severe combined immunodeficiencies and severe cellular immunodeficiencies, although it can be an alternative therapy for other PIDs, such as phagocytic disorders (e.g., X-linked chronic granulomatous disease), Wiskott-Aldrich syndrome and Chediak-Higashi syndrome.

- Immunomodulators are cytokines with great clinical applicability for certain immunodeficiencies, such as IFN-γ in cases of chronic granulomatous disease and the granulocyte-colony stimulating factor in cases of congenital neutropenia.

- Enzyme replacement therapy is successfully used in one form of severe combined immunodeficiency, known as adenosine deaminase (ADA) deficiency.

- Gene therapy is the most promising procedure for most cases of severe PIDs. There are reports of patients with X-linked severe combined immunodeficiency, ADA deficiency and X-linked chronic granulomatous disease who benefited from this treatment modality.

Prognosis and follow up

The survival and quality of life of patients with certain PIDs has improved significantly, especially due to the better clinical management of infections and other comorbidities, to the development of highly potent antimicrobial agents and to the development of specific therapeutic alternatives, such as the infusion of immunoglobulins and other therapies previously discussed.

The reduction in the number of infections and hospitalizations usually leads to the disruption of regular clinical monitoring, which can bring serious consequences. The decision regarding the frequency of evaluations of patients with PID depends on a number of aspects, such as the type of PID, clinical conditions and age. In general, patients should be evaluated, including the complete clinical history and physical examination, at least once every 6–12 months; if possible, this evaluation should be carried out by a clinical immunologist specializing in PIDs.

Patients with pulmonary complications should be submitted to spirometry and serial imaging studies. Infectious diseases, autoimmune diseases and neoplasia should be constantly monitored due to the high risk of association of PIDs with these diseases.

Final considerations

Despite the developments obtained in PIDs, the diagnosis and treatment of this group of diseases is still a major challenge. Considering that many of these diseases are primarily associated with recurrent infections of the respiratory tract, it is crucial that pulmonologists be on the alert for the warning signs for PID, so that suspected cases can be adequately referred to specialized centers. Precise diagnosis and the early adoption of appropriate therapeutic measures can improve the clinical conditions, prevent complications and increase the survival of patients with PIDs.

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